

**CUSTOMER NO. 32,986**  
**IP STRATEGY GROUP, P.C.**  
Intellectual Property Law Office  
P.O. Box 700640  
San Jose, CA 95170-0640

**PATENT APPLICATION**  
**ATTORNEY DOCKET NO. FFRT-P003**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Lurent et al.  
Application No. 10/810,061  
Filed: 03/25/2004  
Title: COMPUTER-IMPLEMENTED  
TRADING IN FREIGHT DERIVATIVES  
AND TECHNIQUES THEREFOR

Examiner: Unknown  
Group No.: 3694  
Confirmation No. 7853  
Certificate of Electronic Transmission  
I hereby certify that this paper or fee is being electronically  
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filing. Total transmission: 2 pages.

Signed: /Cassandra Reynolds/  
Cassandra Reynolds

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are not attached, may be material to the patentability of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-2284 (Order No. FFRT-P003).

Respectfully submitted,

By: /Joseph Nguyen/ Reg. No. 37,899  
Joseph Nguyen

**CUSTOMER NO. 32,986****IP STRATEGY GROUP, P.C.**

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San Jose, CA 95176-0640

**PATENT APPLICATION**  
**ATTORNEY DOCKET NO. FERT-P003****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Lurent et al.

Examiner: Unknown

Application No. 10/810,061

Group No.: 3624

Filed: 10/810,306

Confirmation No. 7853

Title: COMPUTER-IMPLEMENTED

TRADING IN FREIGHT DERIVATIVES

AND TECHNIQUES THEREFOR

**FORM 1449****U.S. Patent Documents**

| Examiner Initial | Cite No. | Document Number | Issue/Publication Date | Name of Patentee or Applicant | Reference to Related Cases |
|------------------|----------|-----------------|------------------------|-------------------------------|----------------------------|
|                  | 1        | 2004-0254807 A1 | 12/16/2004             | Laurent et al.                |                            |
|                  | 2        | 2004-0249699 A1 | 03/25/2004             | Laurent et al.                |                            |
| Examiner         |          |                 | Date Considered        |                               |                            |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.